

1. Basics of Projective Geometry

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1.1. The real projective plane

Origin of projective geometry:

development of rules of perspective drawing;
parallel lines (if not parallel to image plane)
are mapped to intersecting lines.

→ view parallelity as form of intersection

→ *projective extension* of Euclidean space.

First: projective extension of Euclidean plane

Add *ideal point* or *point at infinity* to each line L , such that parallel lines share the same ideal point.

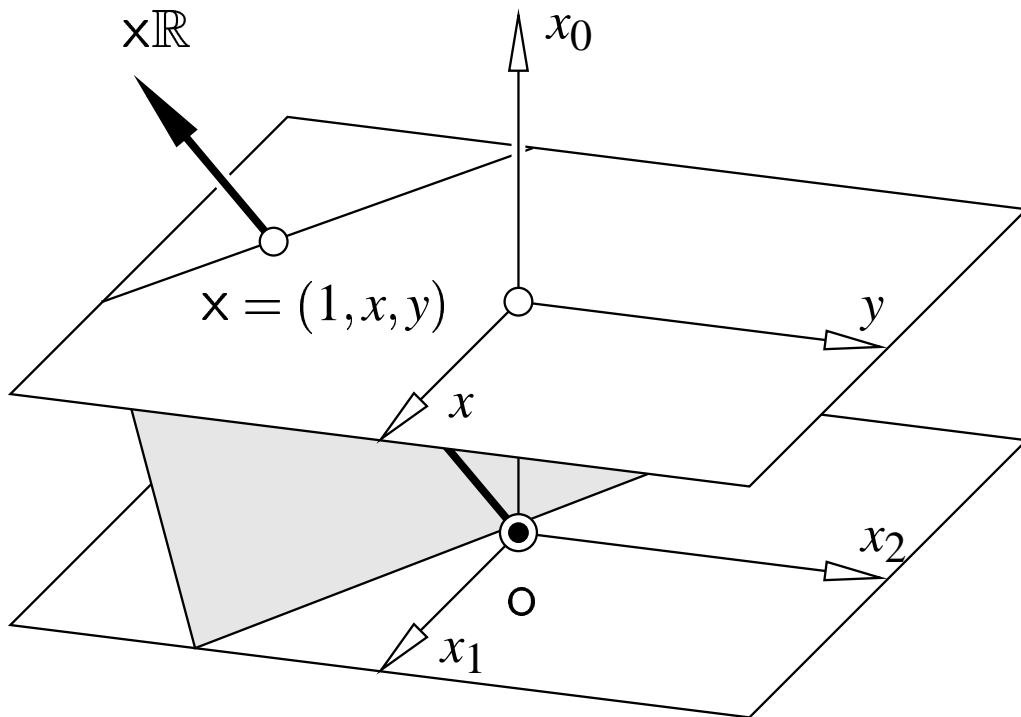
Two lines are parallel \iff intersect at infinity

ideal line ω : consists of all ideal points

Homogeneous coordinates

Embed Euclidean plane E^2 (Cartesian coordinates x, y) in \mathbb{R}^3 (coordinates x_0, x_1, x_2) via

$$\mathbf{x} = (x, y) \mapsto \mathbf{x} = (1, x, y).$$



1-dim. subspace spanned by $\mathbf{x} = (1, x, y)$:

$$x\mathbb{R} = \{(\lambda, \lambda x, \lambda y), \lambda \in \mathbb{R}\}.$$

Any triple $(\lambda, \lambda x, \lambda y), \lambda \neq 0$ defines the subspace and the corresponding point in E^2 : **homogeneous Cartesian coordinates** of point $\mathbf{x} = x\mathbb{R}$ (write also $(x_0 : x_1 : x_2)$).

Conversion to inhomogeneous Cartesian coordinates:

$$x = \frac{x_1}{x_0}, \quad y = \frac{x_2}{x_0}.$$

Ideal point of line $L \subset E^2$ parallel to (l_1, l_2) has homogeneous coordinates

$$(0, l_1, l_2).$$

point $(x_0 : x_1 : x_2)$ is ideal $\iff x_0 = 0$

One-to-one correspondence between 1-dimensional subspaces of \mathbb{R}^3 and points of the extended plane, called *real projective plane* P^2 .

Lines

Lines of P^2 : represented by 2-dim. subspaces of \mathbb{R}^3 .

Parametric representation of line L spanned by points $a \in \mathbb{R}^3, b \in \mathbb{R}^3$:

$$x \in \mathbb{R}^3 = (\lambda_0 a + \lambda_1 b) \in \mathbb{R}^3, \quad \lambda_0, \lambda_1 \in \mathbb{R}.$$

This 2-dim. subspace may also be written as

$$u_0 x_0 + u_1 x_1 + u_2 x_2 = 0. \quad (*)$$

$(u_0, u_1, u_2) = u$: *homogeneous line coordinates*
(write $L = \mathbb{R}u$)

(*) with canonical scalar product:

$$u \cdot x = 0.$$

Incidence relation of line $\mathbb{R}u$ and point $x \in \mathbb{R}^3$.

\implies Connecting line $L = \mathbb{R}u$ of points $a \in \mathbb{R}^3$ and $b \in \mathbb{R}^3$:

$$u = a \times b.$$

Duality

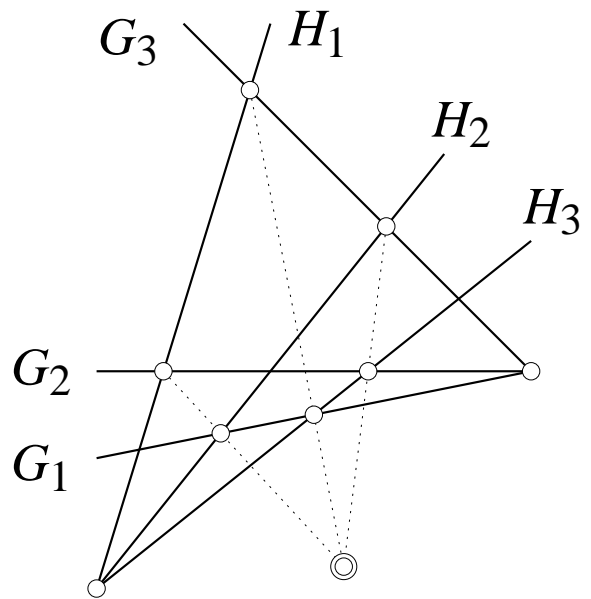
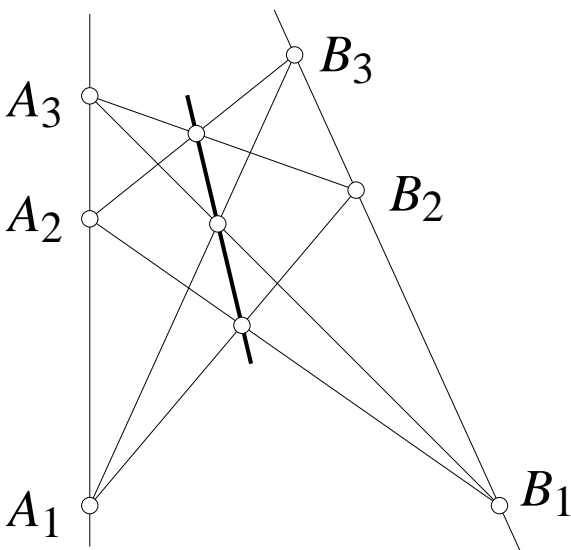
Incidence condition is symmetric: remains unchanged if point and line coordinates are interchanged. \implies

Def.: 'Line' and 'point' are dual to each other in P^2 . If in a statement about points and lines and their incidences every occurrence is replaced by its dual, this new statement is called the dual of the original one.

Principle of Duality: *If a true statement about geometric objects in P^2 employs only 'points', 'lines' and 'incidence', its dual is also true, and vice versa.*

Examples:

- range of points dual to pencil of lines
- connecting line dual to point of intersection
- Point and incident line (line element): self-dual figure.



Left: Pappos' theorem. Right: its dual

1.2. Projective n -space

Extend Euclidean n -space E^n analogously to extension of plane by adding ideal points, one for each class of parallel lines.

Homogeneous coordinates $(x_0 : x_1 : \dots : x_n)$.

For proper points, inhomogeneous coordinates are recovered as

$$\left(\frac{x_1}{x_0}, \frac{x_2}{x_0}, \dots, \frac{x_n}{x_0} \right).$$

Ideal point of line $L \subset E^n$, parallel to (l_1, \dots, l_n) has homogeneous coordinates

$$(0 : l_1 : \dots : l_n).$$

Ideal points lie in *ideal hyperplane* $\omega : x_0 = 0$.

One-to-one correspondence between **1-dimensional subspaces** of \mathbb{R}^{n+1} and **points** of the extended space P^n , called *n -dimensional real projective space*.

Projective subspaces

$(k+1)$ -dim. linear subspaces of \mathbb{R}^{n+1} define k -dim. projective subspaces of P^n :

$k = -1$: empty subspace

$k = 0$: point

$k = 1$: line

$k = 2$: plane

$k = n - 1$: hyperplane.

Def.: points $P_0 = p_0\mathbb{R}, \dots, P_k = p_k\mathbb{R}$ are *projectively independent* \iff vectors p_0, \dots, p_k are linearly independent.

Indep. points $P_0 = p_0\mathbb{R}, \dots, P_k = p_k\mathbb{R}$ span proj. k -space $P_0 \vee \dots \vee P_k$; parameterization with $k+1$ homogeneous parameters $\lambda_0, \dots, \lambda_k \in \mathbb{R}$:

$$x\mathbb{R} = (\lambda_0 p_0 + \dots + \lambda_k p_k)\mathbb{R}.$$

Represent hyperplane by one linear equation,

$$u_0 x_0 + \dots + u_n x_n = 0 \quad \text{or} \quad u \cdot x = 0. \quad (*)$$

$(u_0 : \dots : u_n)$ are *homogeneous hyperplane coordinates*. $(*)$ is incidence relation of hyperplane $\mathbb{R}u$ and point $x\mathbb{R}$.

Duality

Projective span $U \vee V$ (intersection $U \cap V$) of projective subspaces U, V is determined by linear hull (intersection, resp.) of corresponding linear subspaces of \mathbb{R}^{n+1} .

Def.: If a statement involving k -dim. subspaces, proj. span, intersection and inclusion of subspaces in P^n is modified by replacing these items by $n - k - 1$ -dim. subspaces, intersection, proj. span, and reverse inclusion of subspaces, this new statement is called dual to the original one.

Principle of Duality: A statement which fulfills the criteria of the above definition is true if and only if its dual is.

(Proof by orthogonality relation (*))

Duality in P^3 : Points are dual to planes, lines are dual to lines, a range of points is dual to a pencil of planes, . . .

1.3. Projective maps

Projective geometry of P^n studies properties invariant under *projective maps*. These are induced by linear maps f in \mathbb{R}^{n+1} ,

$$f : \mathbf{x} \mapsto \mathbf{x}' = A \cdot \mathbf{x},$$

with a regular $(n+1) \times (n+1)$ matrix A . f determines a projective map $\phi : P^n \rightarrow P^n$ by

$$\phi : \mathbf{x}\mathbb{R} \mapsto (A \cdot \mathbf{x})\mathbb{R}.$$

ϕ is one-to-one on the set of k -dim. subspaces of P^n , for all $k = 0, \dots, n-1$.

Def.: A set of $n+2$ points of P^n is called a *fundamental set* if every subset of $n+1$ points is proj. independent.

Theorem: If P_0, \dots, P_{n+1} and P'_0, \dots, P'_{n+1} are fundamental sets in P^n , there exists a unique projective map $P^n \rightarrow P^n$ which maps P_i to P'_i for $i = 0, \dots, n+1$.

Sketch of proof: Represent P_i as $\mathbf{b}_i\mathbb{R}$ with $\mathbf{b}_{n+1} = \sum_{i=0}^n \mathbf{b}_i$. Same for P'_i and define f by $\mathbf{b}_i \mapsto \mathbf{b}'_i$, $i = 0, \dots, n$.

A fundamental set defines a *projective coordinate system* as follows: Fundamental set (P_0, \dots, P_n, E) can be written as

$$(\mathbf{b}_0\mathbb{R}, \dots, \mathbf{b}_n\mathbb{R}, \mathbf{e}\mathbb{R} = (\mathbf{b}_0 + \dots + \mathbf{b}_n)\mathbb{R}).$$

Points $\mathbf{b}_i\mathbb{R}$ are called *fundamental points*, $\mathbf{e}\mathbb{R}$ is called *unit point*. All vectors $\mathbf{p} \in \mathbb{R}^{n+1}$ can be expressed as $\mathbf{p} = x_0\mathbf{b}_0 + \dots + x_n\mathbf{b}_n$.

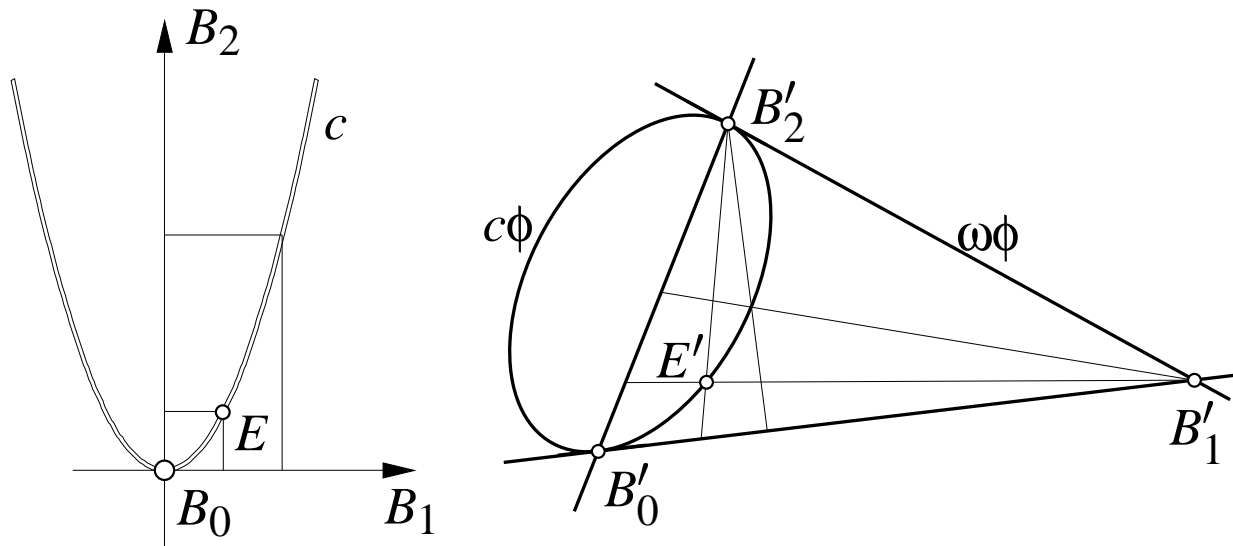
(x_0, \dots, x_n) are called *projective coordinates* of point $\mathbf{p}\mathbb{R}$ with respect to the projective frame $(P_0, \dots, P_n; E)$. To indicate homogeneity, we write also $(x_0 : \dots : x_n)$.

Fundamental points and unit point have coordinates

$$(1 : 0 : \dots : 0), \dots, (0 : \dots : 0 : 1), (1 : 1 : \dots : 1).$$

Cartesian coordinate system Σ defines proj. coord. system: P_0 is origin of Σ , E is unit point of Σ , P_1, \dots, P_n are ideal points of coordinate axes.

Example in P^2



Homogeneous Cartesian System $(B_0, B_1, B_2; E)$ is mapped under projective map ϕ onto proj. system $(B'_0, B'_1, B'_2; E')$. Image of ideal line $\omega = B_1B_2$ is $B'_0B'_2$. Image of parabola $c: y = x^2$, whose homog. equation is

$$x_1^2 - x_0x_2 = 0,$$

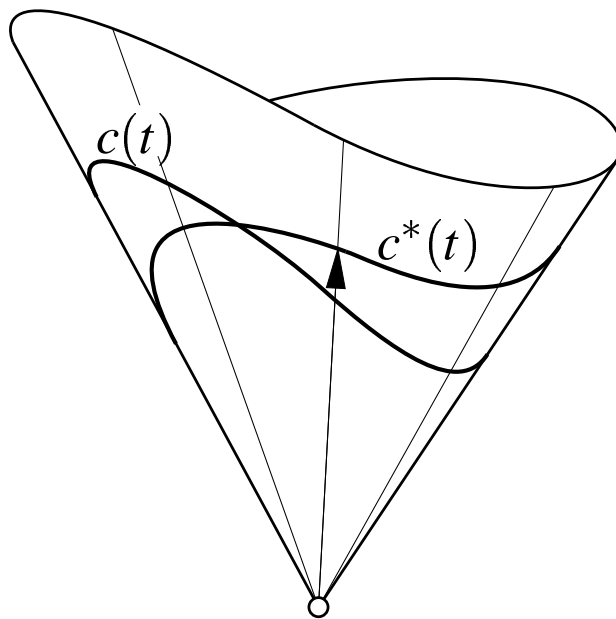
is a conic with the same equation in the image frame (B'_0, \dots, E') .

1.4. Curves

Curve in P^n given by parameterization in homogeneous coordinates:

$$c(t) = (c_0(t), c_1(t), \dots, c_n(t)) \neq (0, \dots, 0) \text{ for all } t \in I.$$

Multiplication of $c(t)$ with scalar function $\rho(t)$ ('renormalization') yields parameterization $c^*(t) = \rho(t)c(t)$ of the same curve: view curve in P^n as cone in \mathbb{R}^{n+1} .



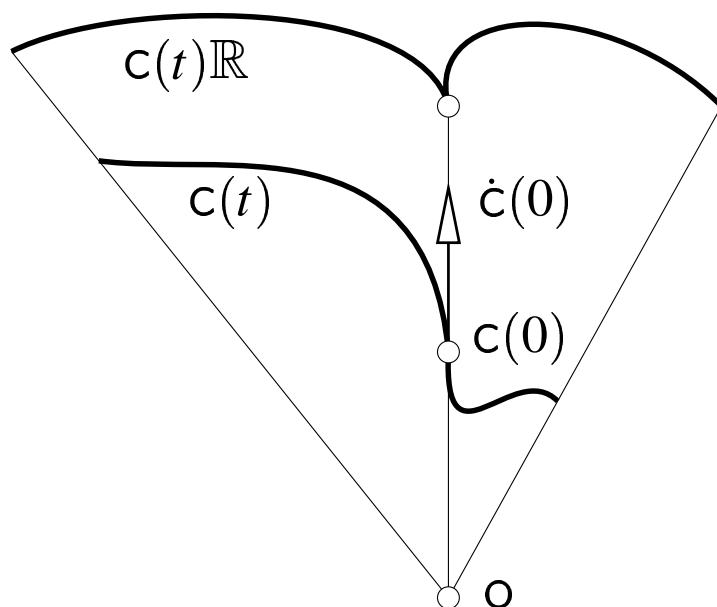
Advantage of homog. coordinates in CAGD: rational curve has polynomial homog. representation.

Application of renormalization: degree elevation of a rational curve $c(t)$, obtained by multiplication with linear function $at + b \implies$ degree elevation for rational curves not unique.

Curve tangents and singularities

First derivative $\dot{c}(t)$ (if $\neq 0$) determines a POINT $c^1(t) = \dot{c}(t)\mathbb{R}$.

Curve is regular at point $c(t)$ if $c^1(t) \neq c(t)$. Then the curve tangent there is the line $c(t) \vee c^1(t)$ (view tangent plane of cone in \mathbb{R}^{n+1}). At a singular point, $c(t) = c^1(t)$.



Regular curve in \mathbb{R}^{n+1} representing a curve with singularity in P^n .

Osculating spaces

For sufficiently differentiable curve $c(t) = c(t)\mathbb{R}$, the linear subspaces

$$[c(t)], [c(t), \dot{c}(t)], [c(t), \dot{c}(t), \ddot{c}(t)], \dots$$

define projective subspaces

$$c(t), c(t) \vee c^1(t), c(t) \vee c^1(t) \vee c^2(t), \dots,$$

where

$$c(t) = c(t)\mathbb{R}, c^1(t) = \dot{c}(t)\mathbb{R}, c^2(t) = \ddot{c}(t)\mathbb{R}, \dots$$

The projective subspace $c(t) \vee \dots \vee c^k(t)$ is called *osculating (sub)space of order k* (dimension $\leq k$). Sequence of dimensions of osculating spaces characterizes special curve points:

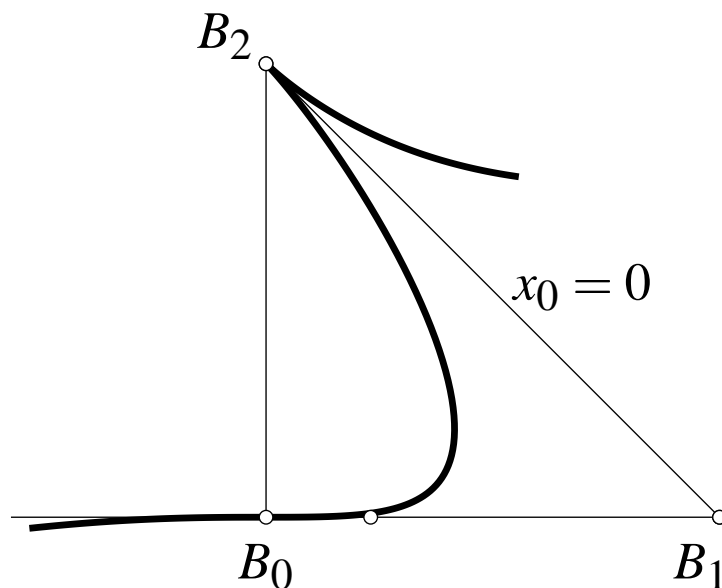
0, 1	regular point
0, 1, ..., n	point of main type
0, 1, 1	inflection point (ordinary if 0, 1, 1, 2)
0, 1, 1, 1	flat point
0, 1, 2, 2	point with stationary osculating plane
0, 0	cusp (ordinary if 0, 0, 1)

Example

Semicubic parabola $y^2 = x^3$ has homogeneous parameterization $t \mapsto (1, t^2, t^3)$. (\implies ordinary cusp at $t=0$). Behaviour at infinity? Reparameterization $t = 1/u$ ($u \neq 0$) yields $u \mapsto (1, 1/u^2, 1/u^3)$. Continuous extension by

$$c(t) = (u^3, u, 1), \quad u \in \mathbb{R}.$$

Point $c(0)$ is ideal point. It is an inflection point because $c^1(u) = (3u^2, 1, 0)\mathbb{R}$, $c^2(u) = (6u, 0, 0)\mathbb{R}$ and $\dim(c(0) \vee c^1(0)) = \dim(c(0) \vee c^1(0) \vee c^2(0)) = 1$. Figure shows projectively equivalent curve (also projectively equivalent to $y = x^3$).



Contact order

Def.: Two curves $c(t)\mathbb{R}$, $d(u)\mathbb{R}$ are said to have contact of order k at parameter values t_0, u_0 if after a suitable regular parameter transform $u = u(t)$ with $u_0 = u(t_0)$ and re-normalization the derivatives of order $0, 1, \dots, k$ agree.

The order of contact of two curves is the maximum k such that the two curves have contact of order k there.

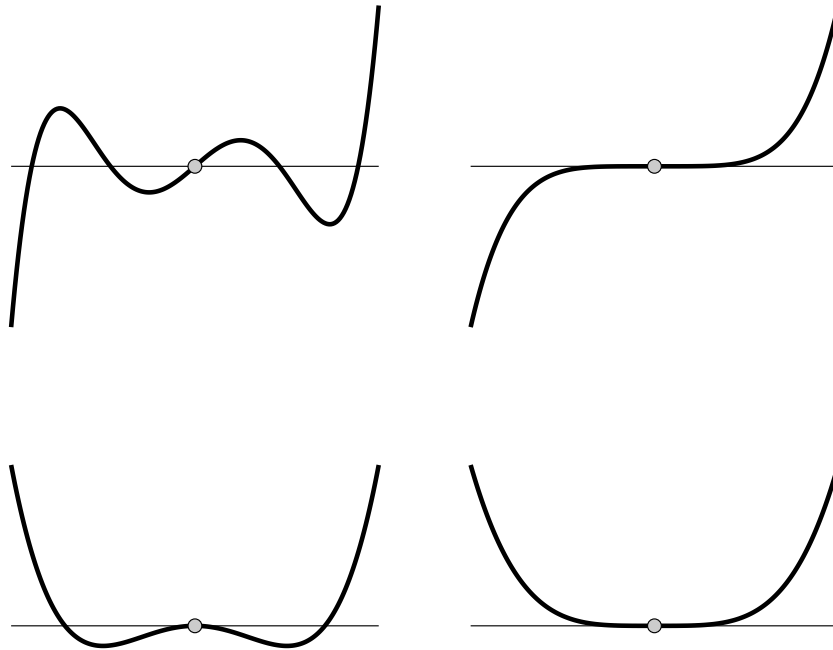
This definition is projectively invariant (even invariant with respect to C^k diffeomorphisms).

Example: Curve (higher parabola)

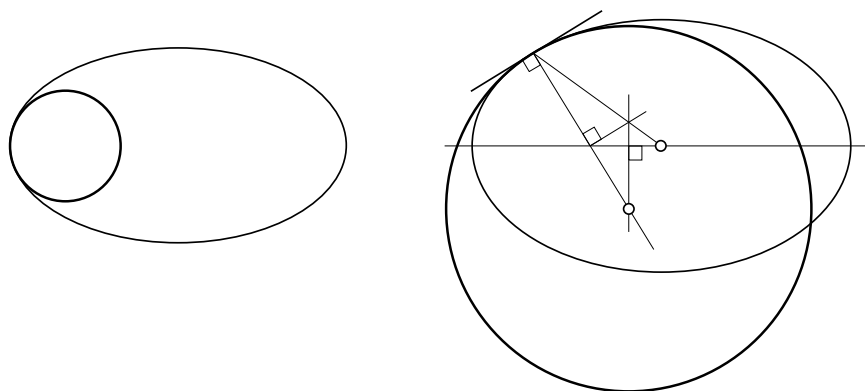
$$y = x^n, \quad (n \geq 3),$$

with inhomogeneous parametrization (t, t^n) has contact of order $n - 1$ with the x -axis $(t, 0)$ at the origin $(0, 0)$.

Example (contd.) $(0,0)$ is an inflection point according to its definition, but side change of the tangent only for n odd. Visualize contact of order k as limit of $k+1$ intersection points.



Local behavior at higher inflection points



Osculating circle at vertex (left) has contact of order 3 with ellipse and at a general point (right) has contact of order 2.

Surfaces in P^3

Homogeneous parameterization

$$(u, v) \mapsto \mathbf{s}(u, v) = (s_0(u, v), \dots, s_3(u, v)), \quad (u, v) \in D \subset \mathbb{R}^2.$$

Projective subspace spanned by $\mathbf{s}(u, v) = \mathbf{s}(u, v)\mathbb{R}$ and the 'partial derivative points'

$$s_u(u, v) = \frac{\partial}{\partial u}\mathbf{s}(u, v)\mathbb{R}, \quad s_v(u, v) = \frac{\partial}{\partial v}\mathbf{s}(u, v)\mathbb{R},$$

is called tangent space at $\mathbf{s}(u, v)$. If its dimension equals 2 (tangent plane) the surface point is called regular.

Analogously as for curves: contact order between surfaces at a point. Contact order k between curve c and surface s : on the surface s there exists a curve \bar{c} which has contact of order k with c .

Example: asymptotic tangents (at parabolic or hyperbolic surface points) have contact of order ≥ 2 with the surface.

Dual curves in P^2

Curve $c(t) = c(t)\mathbb{R}$ described as family of its tangents (dual curve),

$$c^*(t) = \mathbb{R}u(t) = \mathbb{R}(c(t) \times \dot{c}(t)).$$

The iterated dual c^{**} is calculated as

$$\begin{aligned} c^{**} &= (c^* \times \dot{c}^*)\mathbb{R} = (c \times \dot{c}) \times (\dot{c} \times \dot{c} + c \times \ddot{c})\mathbb{R} \\ &= \det(c, \dot{c}, \ddot{c})c\mathbb{R} = c\mathbb{R} = c. \end{aligned}$$

The fact that iterated duality gives the original curve again leads to the notion of curve as a self-dual object, which can be described either as family of points or family of lines (i.e., tangents).

Remark: Dual parameterization to a rational parameterization of degree n is rational of degree $\leq 2n - 2$ (\implies dual Bézier form).

Example

The curve

$$c(t) = \mathbf{c}(t)\mathbb{R} = (1, t^m, t^n)\mathbb{R}, \quad (m < n)$$

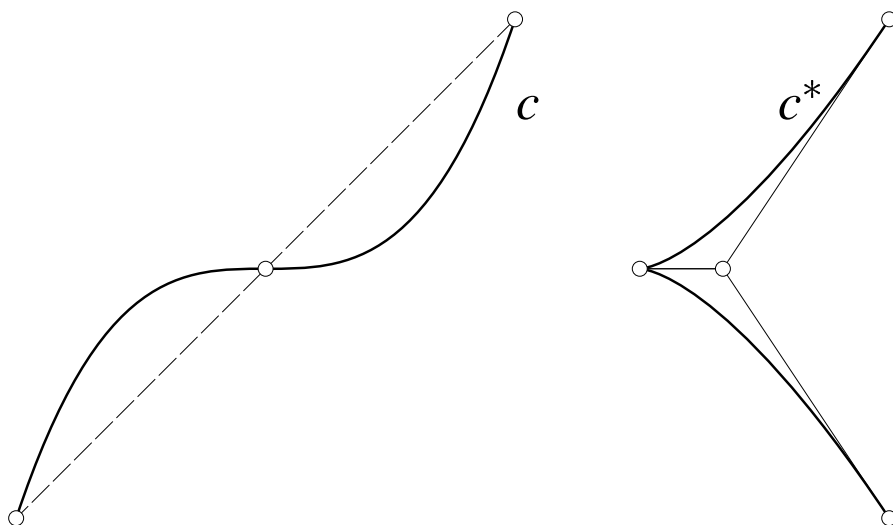
has the line representation

$$c^*(t) = \mathbb{R}c(t) \times \dot{c}(t) = \mathbb{R}((n-m)t^{m+n-1}, -nt^{n-1}, mt^{m-1}).$$

Renormalization yields the equivalent form

$$c^*(t) = \mathbb{R}((n-m)t^n, -nt^{n-m}, m).$$

$(m, n) = (1, 3)$ gives a curve with an inflection at $t = 0$. Dual representation is $(2t^3, -3t^2, 1)$; it has a cusp at $t = 0$ (if we view it as point set; see Figure). (\implies algorithms of J. Hoschek for detection of inflection points at hand of cusps of their duals).



Inflection and cusp are dual to each other. Left: a line intersects in three points. Right: A point is incident with three tangents.

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