

# On the Newton polytope of specialized resultants

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## Outline

- 03. Toric (sparse) elimination theory
- 15. Resultants
- 21. Newton polytope of the toric resultant
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# Toric elimination theory

## Newton polytopes

The **support**  $A_i$  of a polynomial  $f_i \in K[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$ , s.t.

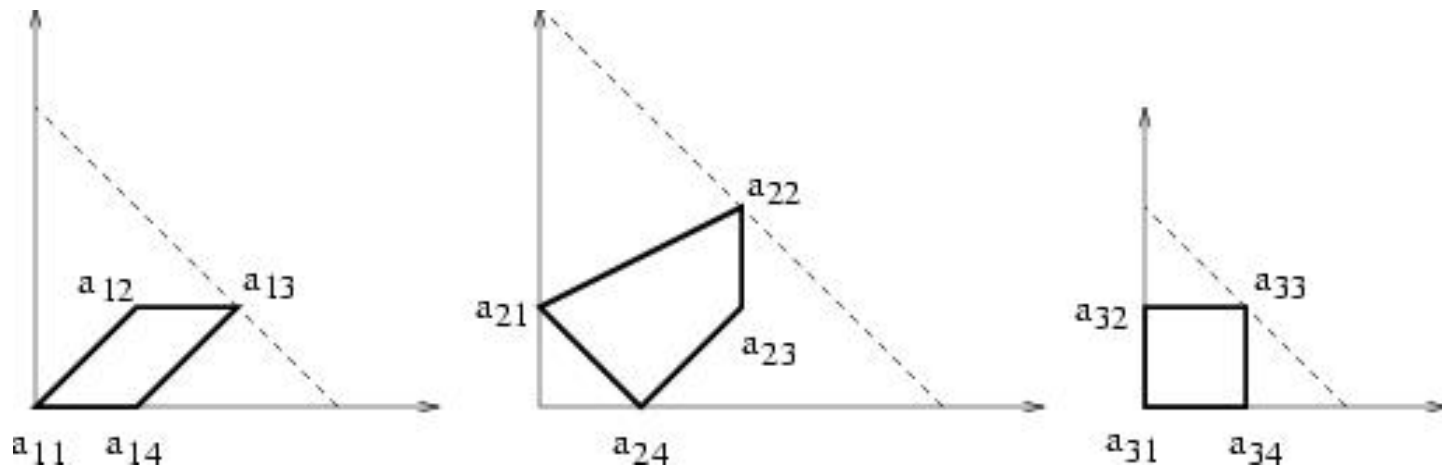
$$f_i = \sum_j c_{ij} x^{a_{ij}}, \quad c_{ij} \neq 0,$$

is defined as the set  $A_i := \{a_{ij} \in \mathbb{Z}^n : c_{ij} \neq 0\}$ .

The **Newton polytope**  $Q_i \subset \mathbb{R}^n$  of  $f_i$  is the **Convex Hull** of all  $a_{ij} \in A_i$ .

Example:

$$\begin{aligned} f_1 &= c_{11} + c_{12}xy + c_{13}x^2y + c_{14}x \\ f_2 &= c_{21}y + c_{22}x^2y^2 + c_{23}x^2y + c_{24}x + c_{25}xy \\ f_3 &= c_{31} + c_{32}y + c_{33}xy + c_{34}x \end{aligned}$$



## Minkowski addition

- The **Minkowski sum** of **convex** polytopes  $P_1, P_2 \subset \mathbb{R}^n$  is **convex** polytope  $P_1 + P_2 = \{p_1 + p_2 \mid p_i \in P_i\} \subset \mathbb{R}^n$ .

If  $P_1, P_2$  have integral vertices, then so does  $P_1 + P_2$ .

- **Minkowski addition** of polytopes  $P_i \subset \mathbb{R}^n$ ,  $i \in I$  is a **many-to-one** map

$$(P_i)_{i \in I} \rightarrow P := \sum_{i \in I} P_i \subset \mathbb{R}^n : (p_i \in P_i)_{i \in I} \mapsto \sum_{i \in I} p_i.$$

## Mixed volume

1. The **mixed volume**  $MV(P_1, \dots, P_n) \in \mathbb{R}$  of **convex** polytopes  $P_i \subset \mathbb{R}^n$

- is **multilinear** wrt Minkowski addition and scalar multiplication:

$$MV(P_1, \dots, \lambda P_i + \mu P'_i, \dots, P_n) =$$

$$= \lambda MV(P_1, \dots, P_i, \dots, P_n) + \mu MV(P_1, \dots, P'_i, \dots, P_n), \quad \lambda, \mu \in \mathbb{R},$$

- st.  $MV(P_1, \dots, P_1) = n! \text{ vol}(P_1)$ .

2. Equivalently,  $\text{vol}(\lambda_1 P_1 + \dots + \lambda_n P_n)$  is a **polynomial** in scalar variables  $\lambda_1, \dots, \lambda_n$ , with **multilinear term**  $MV(P_1, \dots, P_n) \lambda_1 \cdots \lambda_n$ .

## Bernstein (BKK) bound

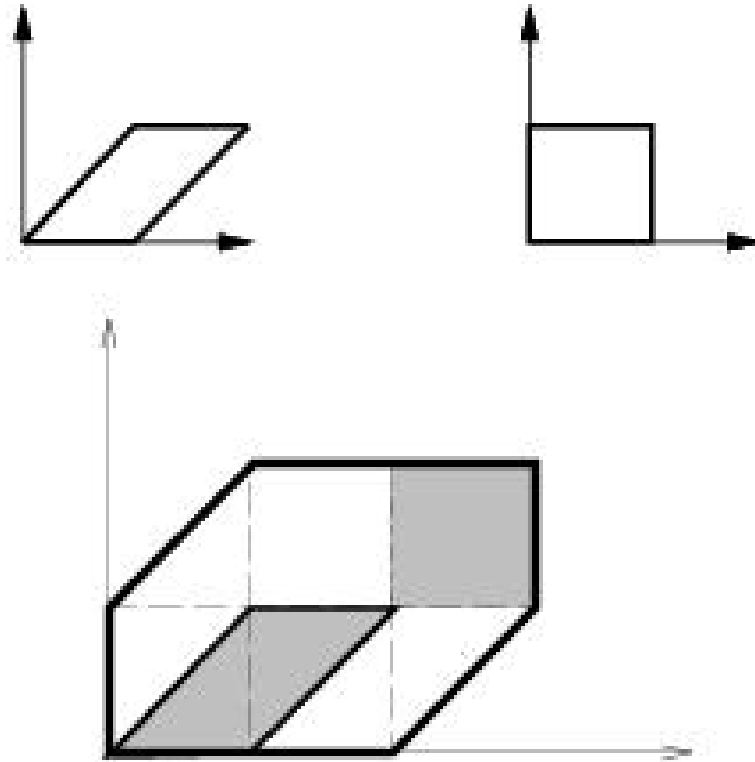
**Theorem** [Bernstein'75, Kushnirenko'75, Khovanskii'78] [Danilov'78]:

Given polynomials  $f_1, \dots, f_n \in K[x_1^{\pm 1}, \dots, x_n^{\pm 1}]$ , for any field  $K$ , the number of **common isolated zeros** in  $(\overline{K} - \{0\})^n$ , counting multiplicities, is bounded by the **mixed volume** of the Newton polytopes  $MV(Q_1, \dots, Q_n)$  (irrespective of the variety's dimension).

[Canny, Rojas'91]: **Exact** bound if the extremal coefficients are **generic**.

**Dense homogeneous**:  $MV(Q_1, \dots, Q_n) = \prod_{i=1}^n d_i =$  **Bézout's** bound, where  $d_i = \deg(f_i)$  and  $Q_i = \text{simplex}\{0, (d_i, 0, \dots, 0), \dots, (0, \dots, 0, d_i)\}$ .

## Example: mixed subdivision for well-constrained problem



- Given  $f_1 = c_{11} + c_{12}xy + c_{13}x^2y + c_{14}x$ ,  $f_3 = c_{31} + c_{32}y + c_{33}xy + c_{34}x$ ,
- construct their **Newton polytopes** in  $\mathbb{R}^2$
  - compute a **mixed subdivision** of the Minkowski Sum (3 mixed cells)
  - compute the Mixed Volume using the formula  $MV = \sum_{\sigma} V(\sigma)$ , over all **mixed cells**  $\sigma$  of the mixed subdivision (here  $MV=3$ ).

# Mixed subdivisions

## Induced subdivisions

For  $Q_i \subset \mathbb{R}^n$ ,  $(Q_i)_{i \in I} \rightarrow Q = \sum_{i \in I} Q_i : (q_i)_{i \in I} \mapsto \sum_{i \in I} q_i$ .

Pick [affine] **liftings**  $l_i : \mathbb{Z}^n \rightarrow \mathbb{R}$ , which define

$$\hat{Q}_i := \{(p_i, l_i(p_i)) : p_i \in Q_i\} \subset \mathbb{R}^{n+1}.$$

Each face in the **lower-hull** of  $\hat{Q}$  is written uniquely as  $\sum_i \hat{F}_i$ , where convex polytope [face]  $\hat{F}_i \subset \hat{Q}_i$ .

Minkowski sum  $\hat{Q} := \sum_i \hat{Q}_i$  **projects** onto  $Q$ , so the lower-hull faces induce a **regular** subdivision of  $Q$ . The faces (cells) are  $\sum_i F_i$ .

In particular, facets on the lower-hull project to maximal cells (dim =  $n$ ).

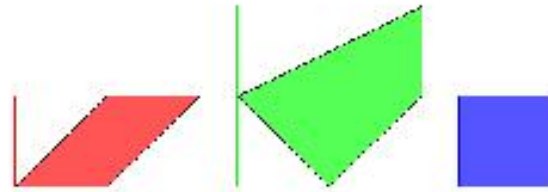


Figure 1: The given polytopes.

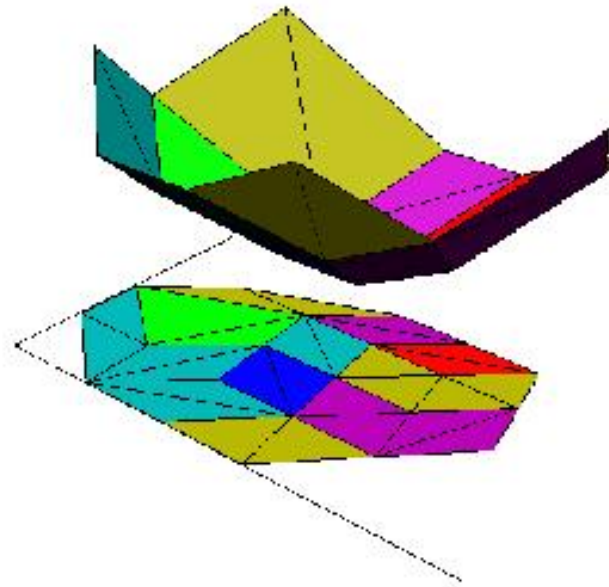


Figure 2: The lower hull of the lifted Minkowski Sum and its planar projection.

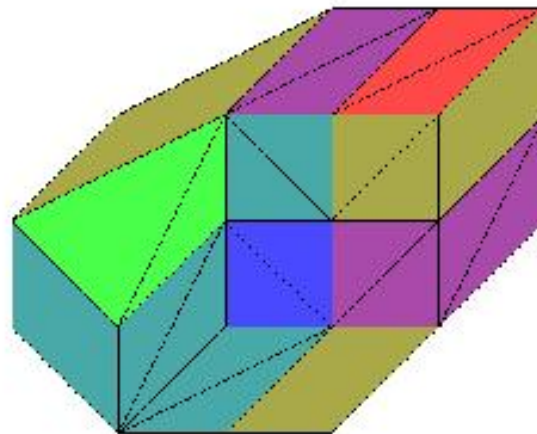


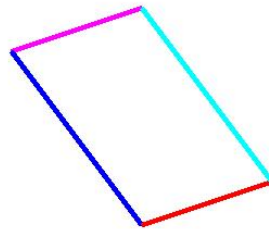
Figure 3: The mixed subdivision.

Example  
lifting for  
the over-  
constrained  
problem

## Coherent subdivisions

A subdivision is **coherent** i.e. there is a continuous change of the unique (“optimal”) expressions of cells, as we move from a cell to its subcells and its adjacent cells.

We also say that the cells **intersect properly** as Minkowski sums.



Eg: **IN**coherent subdivision of  $Q_0 + Q_1$ ,  $Q_i = [0, 1]$ .

Leftmost cell = projection of  $\hat{0} + \hat{Q}_1$ , so  $\hat{0} + \hat{1}$  projects to 1.

Rightmost cell = projection of  $\hat{1} + \hat{Q}_1$  so  $\hat{1} + \hat{0}$  projects to 1: different expression.

**Induced** subdivisions are coherent.

## Tight coherent mixed subdivisions

In general:  $\dim(\sum_i F_i) \leq \sum_i \dim F_i$ .

A **generic** lifting implies equality, i.e. a **tight** subdivision.

In particular, for a maximal-dimension cell,  $n = \sum_i \dim F_i$ .

E.g: **NOT** tight subdivision: 2 segments lifted in parallel:

$$\dim(F_0 + F_1) = 1 < \dim F_0 + \dim F_1 = 1 + 1.$$

This leads to a (tight coherent) **mixed subdivision**, which partitions  $Q$ .

A generic lifting implies the lower-hull of  $\hat{Q}$  corresponds **bijectively** to  $Q$ .

## Mixed cells

A maximal cell  $\sigma$ , in a mixed decomposition  $\Delta$ , is **mixed** iff it has  $n$  linear summands, ie.  $n$  edges  $F_i$  :  $\dim F_i = 1$ .

- $n$  polytopes:  $Q = Q_1 + \dots + Q_n$ , mixed cells are sums of edges.

**Thm:**  $MV(Q_1, \dots, Q_n) = \sum_{\sigma} \text{vol}(\sigma)$ , over all **mixed cells**  $\sigma \in \Delta$ .

- $n + 1$  polytopes:  $Q = Q_0 + Q_1 + \dots + Q_n$ ,  $i$ -mixed cells are sums of edges plus vertex  $a_i \in Q_i$ .

**Thm:**  $MV(Q_0, \dots, Q_{i-1}, Q_{i+1}, \dots, Q_n) = \sum_{\sigma} \text{vol}(\sigma)$ ,

over all  **$i$ -mixed cells**  $\sigma \in \Delta$ .

# Resultants

## Resultant definition

Given  $n + 1$  **Laurent** polynomials  $f_0, \dots, f_n \in K[x_1, \dots, x_n, x_1^{-1}, \dots, x_n^{-1}]$  with indeterminate coefficients  $\vec{c}$ , their **projective**, resp. **toric / sparse**, *resultant* is the unique (up to sign) irreducible polynomial  $R(\vec{c}) \in \mathbb{Z}[\vec{c}]$  such that

$$R(\vec{c}) = 0 \Leftrightarrow \exists \xi = (\xi_1, \dots, \xi_n) \in X : f_0(\xi) = \dots = f_n(\xi) = 0$$

where the variety  $X$  equals:

- the projective space  $\mathbb{P}^n$  over the algebraic closure  $\overline{K}$ ,
- resp. the **toric variety**  $X$ ,  $(\overline{K}^*)^n \subset X \subset \mathbb{P}^N$ .

[van der Waerden, Gelfand-Kapranov-Zelevinsky, Cox-Little-O'Shea]

## Resultant degree

The **projective**, resp. **toric**, resultant polynomial  $R \in \mathbb{Z}[\vec{c}]$  is separately homogeneous in the coefficients of each  $f_i$ , with *degree* equal to  $\prod_{j \neq i} \deg f_j$  (**Bézout's number**), resp. the  $n$ -fold **mixed volume**:

$$\text{MV}_{-i} := \text{MV}(f_0, \dots, f_{i-1}, f_{i+1}, \dots, f_n),$$

provided the supports of the  $f_i$  generate  $\mathbb{Z}^n$ .

## Generalizations

The **toric** resultant reduces to:

- the determinant of the coefficient matrix of a *linear* system,
- the Sylvester or Bézout determinant of 2 *univariate* polynomials,
- the **projective** resultant for  $n + 1$  *dense* polynomials, where the toric variety equals  $\mathbb{P}^n$  and  $\text{MV}_{-i} = \prod_{j \neq i} \deg f_j$ .

# Sylvester matrix

Overconstrained system

$$\begin{aligned} f_0 &= a_{d_0}x^{d_0} + \dots + a_0, \\ f_1 &= b_{d_1}x^{d_1} + \dots + b_0. \end{aligned}$$

$$R = \det \begin{array}{c} \begin{matrix} x^{d_0+d_1-1} & \dots & x & 1 \end{matrix} \\ \left[ \begin{array}{ccccc} a_{d_0} & \dots & a_0 & & 0 \\ & \dots & & \dots & \\ 0 & & a_{d_0} & \dots & a_0 \\ b_{d_1} & \dots & \dots & b_0 & 0 \\ 0 & b_{d_1} & \dots & \dots & b_0 \end{array} \right] \end{array} \begin{array}{c} f_0^* \\ \vdots \\ 1 \\ f_1^* \\ \vdots \\ 1 \end{array} \left. \begin{array}{l} \vphantom{f_0^*} \\ \vphantom{\vdots} \\ \vphantom{1} \\ \vphantom{f_1^*} \\ \vphantom{\vdots} \\ \vphantom{1} \end{array} \right\} \begin{array}{l} B_0 \\ B_1 \end{array}$$

Poisson formula:  $R = b_{d_1}^{d_0} \prod_{\alpha: f_1(\alpha)=0} f_0(\alpha).$

## The $u$ -resultant

$$\begin{aligned}
 f_0 &= u_1 x_1 + u_2 x_2 + u_0, \\
 f_1 &= c_{12} x_1^2 + c_{11} x_1 + c_{10} \\
 f_2 &= c_{22} x_2^2 + c_{21} x_2 + c_{20}
 \end{aligned}$$

A toric resultant matrix =

$$\begin{bmatrix}
 c_{10} & c_{12} & c_{11} & 0 & 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 & c_{10} & c_{11} & c_{12} & 0 \\
 c_{20} & 0 & 0 & c_{22} & c_{21} & 0 & 0 & 0 \\
 0 & 0 & c_2 & 0 & 0 & c_{22} & 0 & c_{22} \\
 u_0 & 0 & u_1 & 0 & u_2 & 0 & 0 & 0 \\
 0 & u_1 & u_0 & 0 & 0 & u_2 & 0 & 0 \\
 0 & 0 & 0 & u_2 & u_0 & u_1 & 0 & 0 \\
 0 & 0 & 0 & 0 & 0 & u_0 & u_1 & u_2
 \end{bmatrix}
 \begin{array}{l}
 f_1 \\
 x_2 f_1 \\
 f_2 \\
 x_1 f_2 \\
 f_0 \\
 x_1 f_0 \\
 x_2 f_0 \\
 x_1 x_2 f_0
 \end{array}$$

defined by “row” monomials s.t. the matrix be square (dialytic elimination).

There also exist algorithms [Canny-E'92,'93,'00].

The number of rows per polynomial = 4,2,2 =  $MV_{-i}$  i.e. optimal, hence:

$$R(u) = \det M(u) = C \cdot \prod_{f_i(\alpha)=0, i=1,2} (u_1 \alpha_1 + u_2 \alpha_2 + u_0).$$

## Matrices of Sylvester-type

**Algorithms:** subdivision [Canny-E'93,'00], incremental [E-Canny'95] yield a square Newton matrix  $M$  such that:

$$\begin{aligned}\det(M) &\neq 0, \\ R &| \det(M), \\ \deg_{f_0} \det(M) &= \deg_{f_0} R,\end{aligned}$$

where  $R$  is the toric resultant. Same properties for the Macaulay matrix of the projective resultant [Mac'02].

**Complexity** [E'96]  $O(e^n \deg R (\text{vtx} Q_i)^3)$ , when  $n$ -fold Mixed Volumes  $> 0$ , and the Newton polytopes do not differ “too much” (bounded scaling).

**Rational form** [D'Andrea'01] :  $R = \det(M) / \det(M')$ ,

where  $M'$  is a **submatrix** of  $M$ , generalizing Macaulay's construction.

**Open:** single lifting.

# Toric resultant support

## Newton polytope of the toric resultant

Given are supports  $A_0, \dots, A_n$  s.t.  $k := \sum_i |A_i|$  and  $\dim(\sum_i A_i) = n$ . Consider the toric resultant  $R \in \mathbb{Z}[c]$  and its Newton polytope in  $\mathbb{R}^k$ .

Let lifting  $l \in \mathbb{R}^k$  define a (tight coherent) mixed subdivision of  $Q_0 + \dots + Q_n$ . Consider the **trailing monomial** of  $R$  with respect to  $l$ , which corresponds to the vertex of  $\text{supp}(R) \subset \mathbb{Z}^k$  with inner normal  $l$ .

**Theorem.** This trailing monomial is

$$\text{In}_l(R) = \prod_{i=0}^n \prod_{i\text{-mixed } \sigma} \text{coef}(f_i, a_i)^{\text{vol}(\sigma)},$$

where  $\text{vol}(\cdot)$  denotes Euclidean volume and the  **$i$ -mixed cells** are  $\sigma = F_0 + \dots + a_i + \dots + F_n : \dim a_i = 0$ .

[Sturmfels'94]

## Newton polytope of the toric resultant (cont'd)

**Theorem.** If  $l \in \mathbb{R}^k$  defines a mixed subdivision, then the **trailing monomial** of  $R$ , wrt  $l$ , is

$$\text{In}_l(R) = \prod_{i=0}^n \prod_{i\text{-mixed } \sigma} \text{coef}(f_i, a_i)^{\text{vol}(\sigma)},$$

where the  **$i$ -mixed cells** are  $\sigma = F_0 + \cdots + a_i + \cdots + F_n$ .

**Proof.** Let  $M$  be the **subdivision-based** Newton matrix.

- Specialize  $\text{coef}(f_i, a_j) \mapsto t^{l_i(a_j)}$ , for new variable  $t$ , then, the product of **diagonal entries** = trailing term of  $\det M(t)$ .
- Each  $\text{coef}(f_i, a_i)$  appears on the diagonal, at least  $\text{vol}(\sigma)$  times.

Lastly,  $\deg_{f_i} \text{In}_l(R) = \sum_{i\text{-mixed } \sigma} \text{vol}(\sigma) = \text{MV}_{-i} = \deg_{f_i} R$ .  $\square$

**Corollary.** A surjection exists from the set of **mixed-cell configurations** onto the **extreme monomials** of  $R$  (vertices of its Newton polytope).

**Corollary.** The coefficient of the trailing term is  $\pm 1$ .

## Enumerating mixed subdivisions

The **Cayley trick** introduces point set  $C \subset \mathbb{Z}^{2n}$ :

$$C := \{(0^n, a_{0j}) : a_{0j} \in A_0\} \cup \{(e_i, a_{ij}) : i = 1, \dots, n, a_{ij} \in A_i\},$$

where  $e_i = (\dots, 0, 1, 0, \dots) \in \mathbb{N}^n$ . So  $|C| = |A_0| + \dots + |A_n|$ .

**Theorem.** The set of all mixed subdivisions of  $A_0, \dots, A_n \subset \mathbb{Z}^n$  corresponds bijectively to the set of all **regular triangulations** of point-set  $C$ .

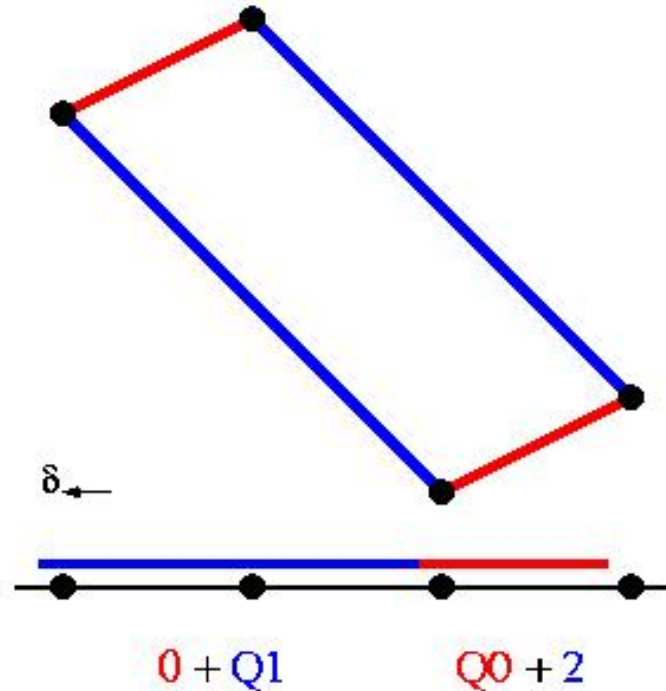
## Caley trick in sparse Sylvester case

$$f_0 = c_{00} + c_{01}x,$$

$$f_1 = c_{10} + c_{12}x^2.$$

Cayley point set

$$C := \begin{bmatrix} 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 2 \end{bmatrix}.$$



Triangulations:  $\left( \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \end{bmatrix} \right), \left( \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \end{bmatrix} \right)$  shown,

and also  $\left( \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right), \left( \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \end{bmatrix} \right).$

## Secondary polytope

Consider the graph of **regular triangulations** of point-set  $C \subset \mathbb{Z}^d$ , where edges correspond to (bistellar) flips.

**Theorem** [Gelfand-Kapranov-Zelevinsky, Billera-Sturmfels]

If  $C$  affinely spans  $\mathbb{R}^d$ , then the graph can be embedded in  $\mathbb{R}^{|C|-d-1}$  as the **secondary polytope**  $\Sigma(C)$ . For triangulation  $T$ ,

$$(v_T)_i = \sum_{i \in \text{vtx}(\sigma): \sigma \in T} \text{vol}(\sigma), \quad i = 1, \dots, |C|,$$

where  $\text{vtx}(\sigma)$  are the vertices of simplex  $\sigma$ .

E.g.  $C \subset \mathbb{Z}^2$ ,  $|C| = 4$ :



## Computation

Computation of all **mixed-cell configurations** by even/odd flips, followed by regularity check [Michiels-Vershelde'97]

**Reverse search** computes a spanning tree of  $\Sigma(C)$   
[Imai-Masada-Takeuchi-Imai'02]

All works assume entire secondary polytope is available.  
Specialized resultant corresponds to **projection**: silhouette only.

## Circuits

A **circuit**  $Z = \{c_1, \dots, c_t\}$  is a minimal affinely-dependent subset of  $C$ , satisfying  $\lambda_1 c_1 + \dots + \lambda_t c_t = 0$ , where  $\lambda_i \neq 0$ ,  $\sum_i \lambda_i = 0$ .

$Z$  admits triangulations  $Z^+ = \{Z \setminus \{c_i\} \mid \lambda_i > 0\}$ ,  $Z^- = \{Z \setminus \{c_i\} \mid \lambda_i < 0\}$ . Each **flip**  $T \leftrightarrow T'$  corresponds to precisely one circuit  $Z$  s.t.

$$T' \simeq T \setminus Z^+ \cup Z^-$$

E.g.  $Z = C$ , 
$$-\begin{bmatrix} 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} - \begin{bmatrix} 1 \\ 1 \end{bmatrix},$$



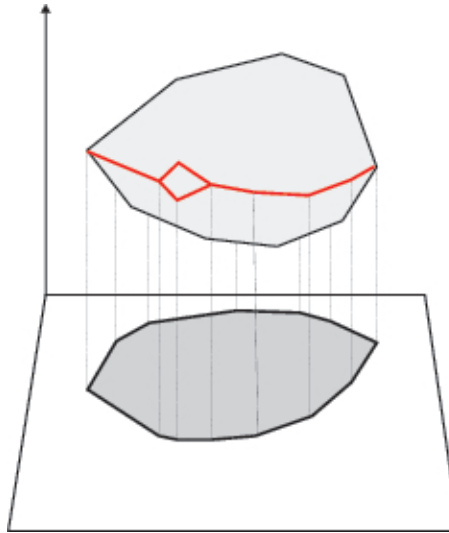
## Projecting $\Sigma(C)$ to $\mathbb{R}$

Project to 1st coordinate, corresponding to  $c_1 \in C$ .

- Let  $T$  be a regular triangulation,  $Z$  the circuit supporting flip  $T \leftrightarrow T'$ . Then  $c_1$  is a vertex of every simplex in  $Z^-$  iff  $(v_T)_1 < (v_{T'})_1$ .
- Let  $Z_j$  be the circuits that make  $(v)_1$  increase, and  $\sigma_j \in Z_j^+$  the unique simplex not containing  $c_1$ . Then, the triangulation  $T$  **maximizing**  $(v_T)_1$  is s.t. the volume of simplices containing  $\sigma_j$  is max.
- Hence  $(v)_1 \uparrow$ . If strictly  $\uparrow$  then min-path.

[E-Konaxis-Palios'07]

## Projecting $\Sigma(C)$ to $\mathbb{R}^k, k \geq 2$



- **Complexity:** Time =  $O^*(s^2m)$ LP(dim  $\Sigma, s$ ), Space =  $O(ns)$ ,  
 $s = \max \# \text{any-dim simplices} = O(k^n)$ ,  $m = \# \text{mixed-cell config's}$ .

- Gift-wrapping,  $\text{CCW}(u, v, w) = \det \begin{bmatrix} 1 & u_1 & u_2 \\ 1 & v_1 - u_1 & v_2 - u_2 \\ 1 & w_1 - v_1 & w_2 - v_2 \end{bmatrix}$ .

**Goal** =  $O^*(sH)$ LP( $\cdot$ ),  $H = \# \text{silhouette-points}$ .

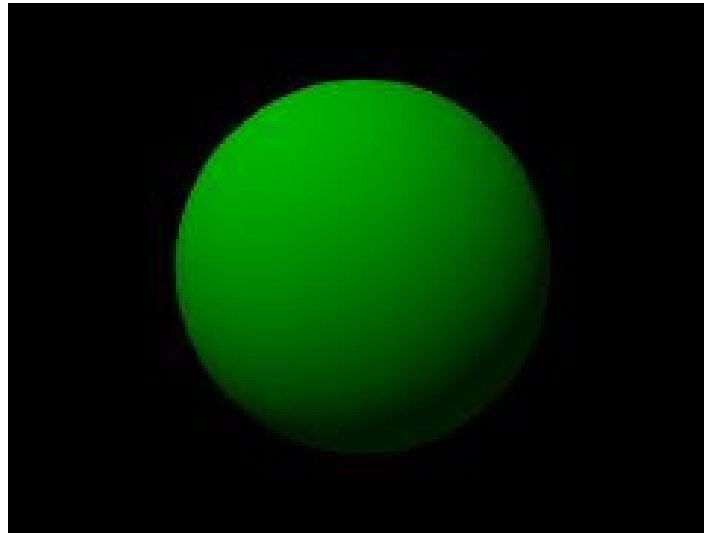
# Implicitization

## Example: sphere

The sphere in  $\mathbb{R}^3$  is the set of **values**  $(x, y, z)$ :

$$x = \frac{t_1^2 - t_2^2 - 1}{t_1^2 + t_2^2 + 1}, y = \frac{2t_1}{t_1^2 + t_2^2 + 1}, z = \frac{2t_1 t_2}{t_1^2 + t_2^2 + 1}, t_1, t_2 \in [0, 1],$$

as well as the set of **roots** of  $H(x, y, z) := x^2 + y^2 + z^2 - 1 = 0$ .



Modeling/CAD use **parametric** and **implicit/algebraic** representations  
 $\Rightarrow$  must implicitize a (hyper)surface given a (rational) parameterization

## Implicitization by linear algebra

$S$  = vector of monomials forming a superset of the **support of the implicit equation**  $H(x, y, z)$ , evaluated at the parameters  $s, t$ .

$C$  = unknown coefficients of  $H(x, y, z)$  with respect to  $S$ .

Solve for  $C$  in  $(SS^T)C = \vec{0}$  [Corless-Galligo-Kotsireas-Watt'00].

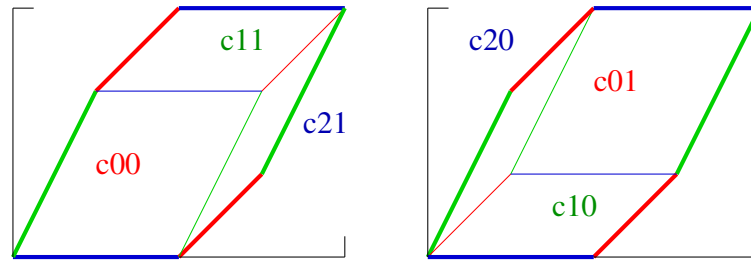
**Example:**  $\text{supp}(H) \subset \{x^3y, x^3, x^3y^2, y^2z^3\}$ , then

$$SS^T = \begin{bmatrix} x^6y^2 & x^6y & x^6y^3 & x^3y^3z^3 \\ x^6y & x^6 & x^6y^2 & x^3y^2z^3 \\ x^6y^3 & x^6y^2 & x^6y^4 & x^3y^4z^3 \\ x^3y^3z^3 & x^3y^2z^3 & x^3y^4z^3 & y^4z^6 \end{bmatrix} \Rightarrow C = \begin{bmatrix} -2 \\ 1 \\ 1 \\ -1 \end{bmatrix}.$$

## Mixed subdivisions: example

A sparse example [Buchberger'88]

$$f_0 = c_{00} - c_{01}st, \quad f_1 = c_{10} - c_{11}st^2, \quad f_2 = c_{20} - c_{21}s^2.$$



The mixed subdivisions yield extreme monomials  $c_{00}^4 c_{11}^2 c_{21}$ ,  $c_{01}^4 c_{10}^2 c_{20}$ .

The toric resultant turns out to be  $R = c_{00}^4 c_{11}^2 c_{21} - c_{01}^4 c_{10}^2 c_{20}$ .

# The Fröberg-Dickenstein example

$$x = t^{48} - t^{56} - t^{60} - t^{62} - t^{63}, \quad y = t^{32}.$$

$$Q'_0 + 0, a + Q_1, Q''_0 + 32$$

$$\pm y^a c_{0a}^{32} c_{1,32}^{63-a}$$

$$a = 48, 56, 60, 62, 63$$

yields  $\pm y^a$

$$a = 63$$

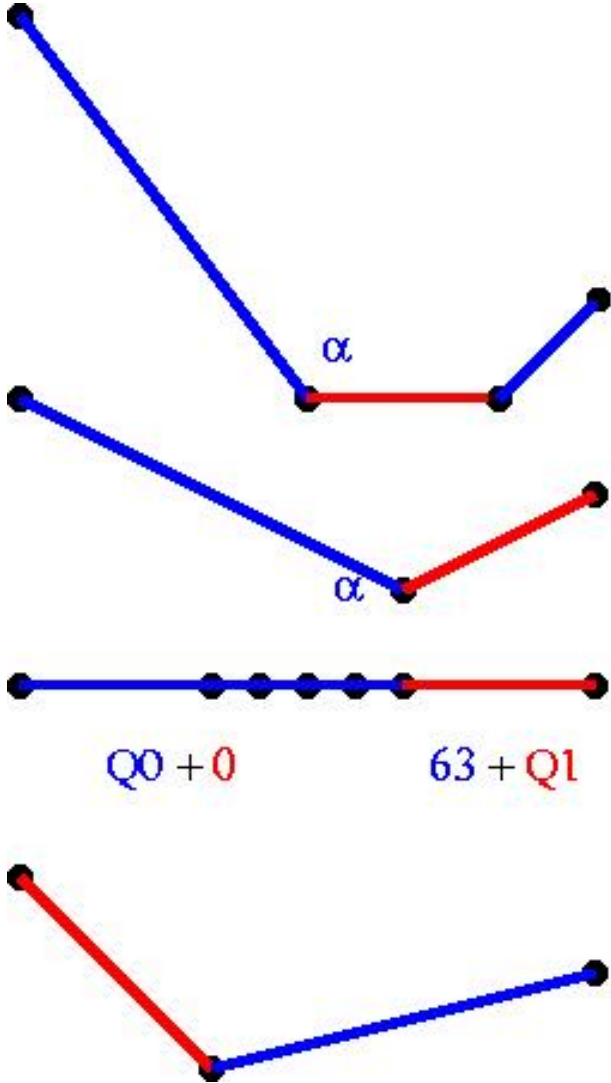
$$\pm y^{63} c_{0,63}^{32}$$

$$Q_0 + 0$$

$$63 + Q_1$$

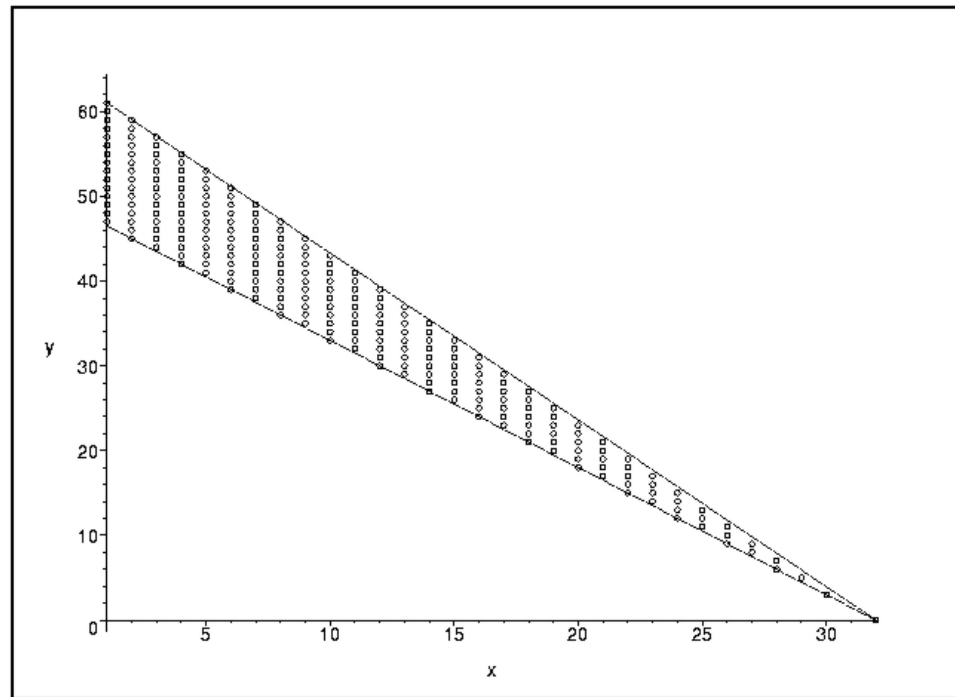
$$0 + Q_1, Q_0 + 32, (a = 0)$$

$$\pm x^{32} c_{1,32}^{63}$$



## The Fröberg-Dickenstein example (cont'd)

The projected support is defined by points  $(0, 48)$ ,  $(0, 63)$ ,  $(32, 0)$ :



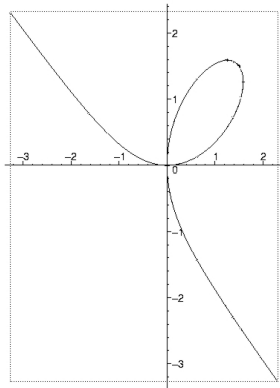
This triangle includes 257 integral points, optimally.

# Implicitization examples

[Descartes' folium]

[1596-1650]

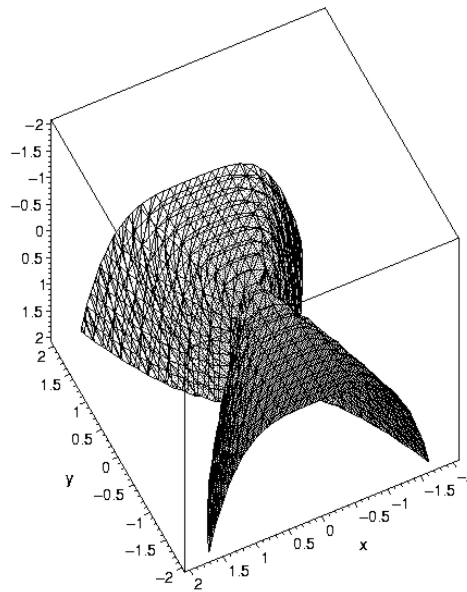
$$(x, y) = \left( \frac{3 t^2}{t^3 + 1}, \frac{3 t}{t^3 + 1} \right)$$



$$H = x^3 + y^3 - 3 x y$$

[Buchberger'88]

$$(x, y, z) = (st, st^2, s^2)$$



$$H = x^4 - y^2 z$$

[Busé'01]

$$x = \frac{s^2}{s^3 + t^3},$$

$$y = \frac{s^3}{s^3 + t^3},$$

$$z = \frac{t^2}{s^3 + t^3}$$

$$H = x^3 - 2x^3y + x^3y^2 - y^2z^3$$

## Application to implicitization

Curve / Surface	param. (bi)deg.	impl. deg.	general #mon's	[E-Kotsireas'03] #monom's	actual #mon's
Unit circle	2	2	6	3	3
[Descartes' folium]	3	3	10	3	3
[Fröberg-Dickenstein]	63	63	1057	257	257
[Buchberger]	3	4	35	2	2
[Busé]	3	5	56	4	4
Bilinear	1,1	2	10	9	9

Maple code [E-Kotsireas'03]

- regular triangulations via TopCom [Rambau],
- cannot handle bicubic surfaces.

**Specify implicit Newton polytope**

## Optimal generic Newton polytope

Consider parameterizations with **fixed supports** and **generic** coefficients.

- Toric elimination leads to above algorithm for resultant's Newton polytope, in  $O(\exp(k/2 - n - 1))$ .
- Specify vertices of Newton polygon of **rationally parameterized curves** in  $O(k)$  [E-Konaxis-Palios'07].
- Specify faces of the Newton polytope of the Sylvester resultant [Gelfand-Kapranov-Zelevinsky'90].
- Tropical geometry leads to an algorithm for the polytope of **Laurent-polynomial (hyper)surfaces** and varieties:  $\text{codim} > 1$ ; for curves, specifies polygon in  $O(k)$  [Sturmfels-Tevelev-Yu'06].

## Sylvester support

Let polynomials  $\in K[x]$  have **degree**  $d_0, d_1$  and resultant

$$\sum_{p \in \mathbb{N}^k} \text{const}_p \prod_{j=0}^{d_0} c_{0j}^{p_{0j}} \prod_{j=0}^{d_1} c_{1j}^{p_{1j}} = \sum_{p \in \mathbb{N}^k} \text{const}_p c^p.$$

Its support is defined by [\[Gelfand-Kapranov-Zelevinsky\]](#):

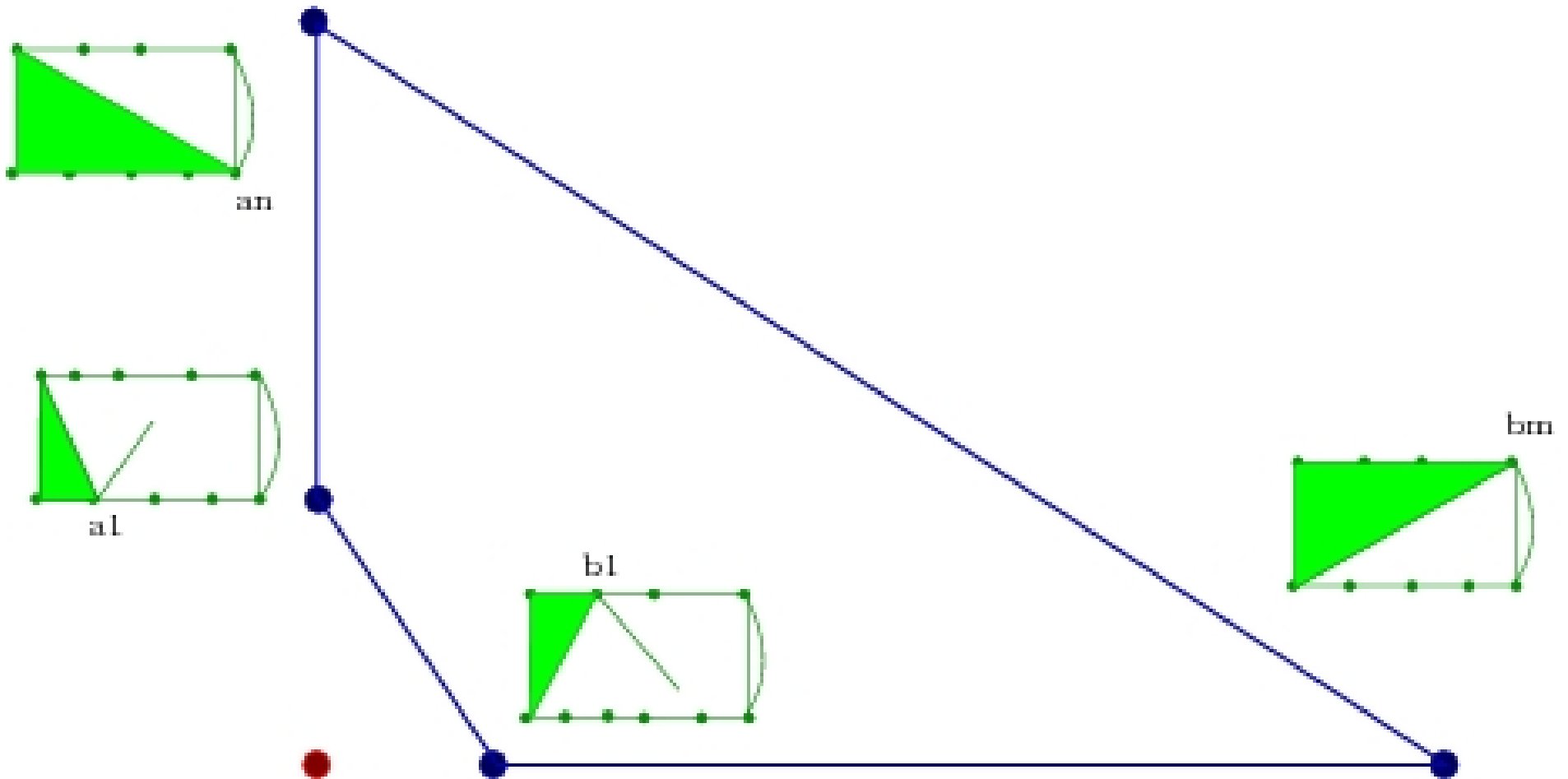
$$0 \leq i \leq 1, 0 \leq j \leq d_i : \quad p_{ij} \geq 0,$$

$$\begin{bmatrix} 1 & \cdots & 1 & 0 & \cdots & 0 \\ 0 & \cdots & 0 & 1 & \cdots & 1 \\ 0 & \cdots & d_0 & 0 & \cdots & d_1 \end{bmatrix} \begin{bmatrix} p_{00} \\ \vdots \\ p_{0d_0} \\ p_{10} \\ \vdots \\ p_{1d_1} \end{bmatrix} = \begin{bmatrix} d_1 \\ d_0 \\ d_0 d_1 \end{bmatrix},$$

$$\left. \begin{array}{l} 0 \leq a \leq d_0 \\ 0 \leq b \leq d_1 \end{array} \right\} \sum_{j=a}^{d_0} (j-a)p_{0j} + \sum_{j=b}^{d_1} (j-b)p_{1j} \geq (d_0-a)(d_1-b)$$

# Polynomially parameterized curves

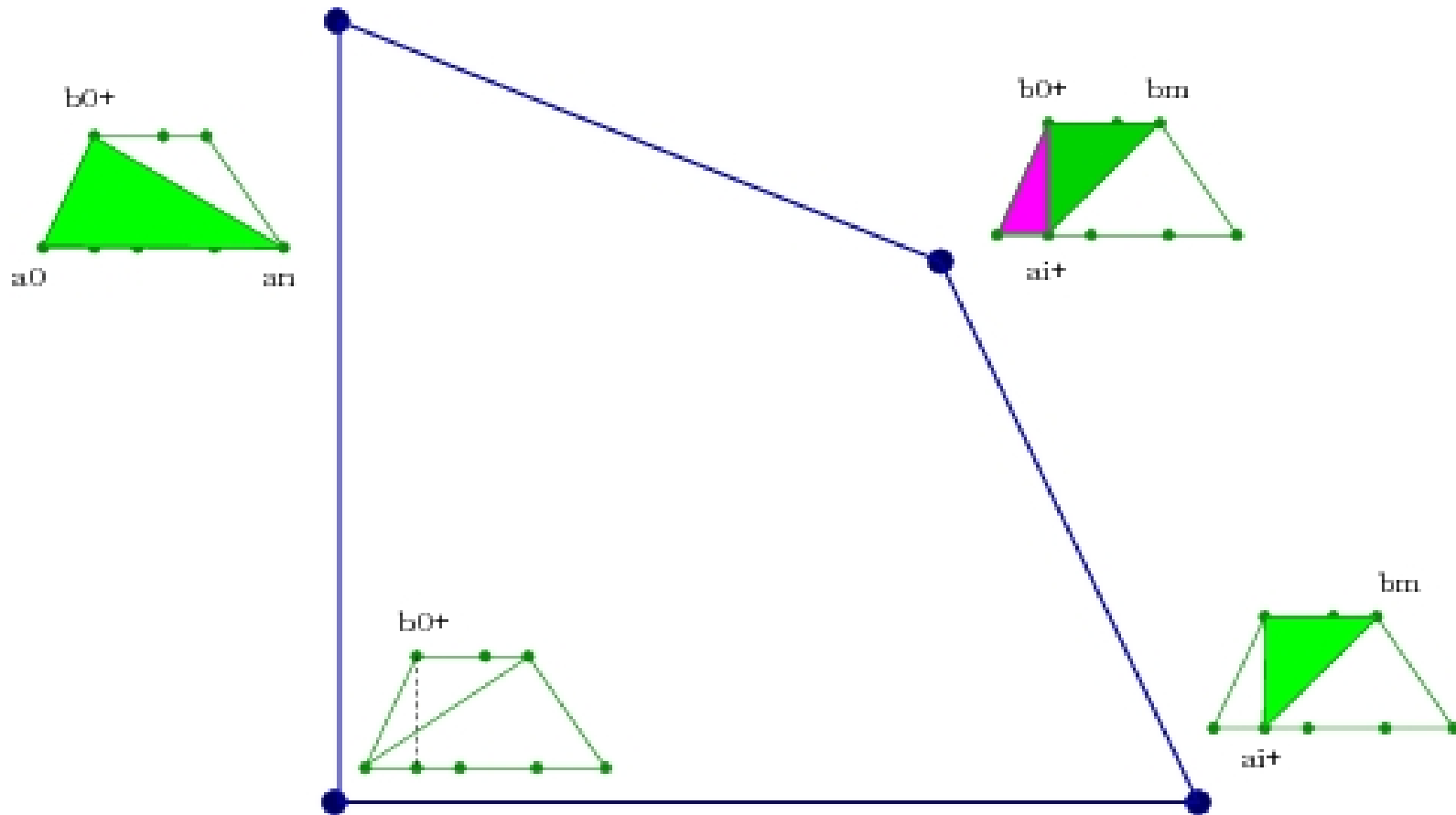
If  $\exists$  const.term in  $P_i(t)$ , then  $\exists$  implicit vertex  $(0,0)$



Cor:  $\text{coef}(x^{b_m}) = \pm(-c_{1m})^{a_n}$ ,  $\text{coef}(y^{a_n}) = \pm c(-c_{0n})^{b_m}$

# Laurent-polynomial parameterization

$\{a_0, \dots, a_n\}, \{b_0, \dots, b_m\} \subset \mathbb{Z}$ , unique selected  $0^+$ .



Up-right vertex =  $(b_m, |a_0|)$  iff  $\det \begin{bmatrix} |a_0| & a_n \\ |b_0| & b_m \end{bmatrix} > 0$ ,  $(|b_0|, a_n)$  iff  $\det < 0$ .

## Rationally parameterized curves

$$x_i = \frac{P_i(t)}{Q(t)} \rightarrow f_i = x_i Q(t) - P_i(t) \in K[t], \quad i = 0, 1,$$

with supports  $\{a_0, \dots, a_n\}, \{b_0, \dots, b_m\} \subset \mathbb{N}$ .

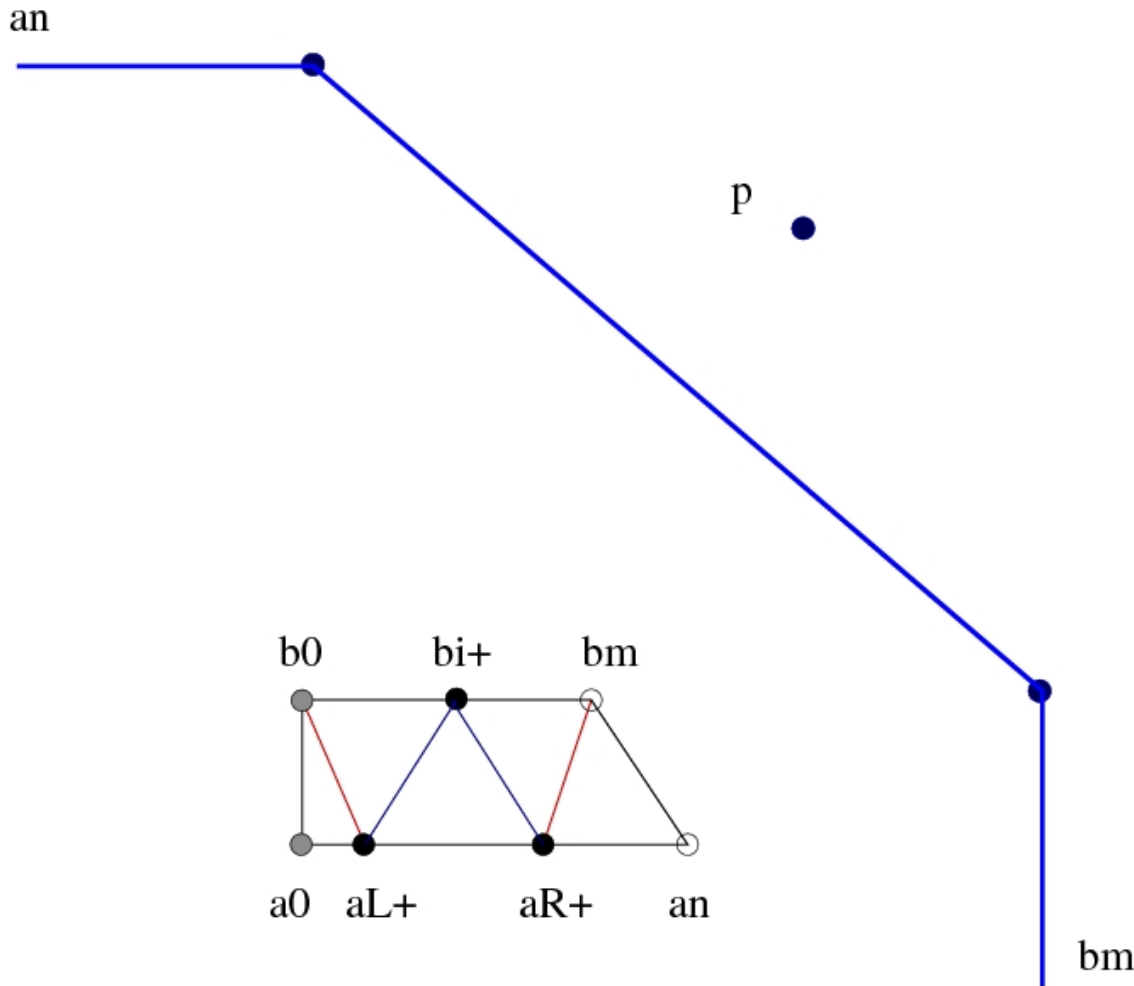
**Lemma.** Consider direction  $(1, 1)$ . The **upper** [resp. **lower**] hull of the Newton polygon has vertices of the form:

$$\left( \sum_{i,l,r} \text{vol}(a_i^+, b_l, b_r), \sum_{l,r,j} \text{vol}(a_l, a_r, b_j^+) \right) \in \mathbb{N}^2,$$

where  $a_i^+, b_j^+ \in \text{supp}(Q)$  [resp.  $\text{supp}(Q) - \text{supp}(P_i)$ ].

Pf. Consider monomials or binomials  $\in \mathbb{C}[x_i]$ , i.e.  $c_1 x_i$  or  $c_0 + c_1 x_i$ , [resp. monomials  $\in \mathbb{C}[x_i]$ , i.e.  $c_1 x_i$ ].

## Upper-right corner



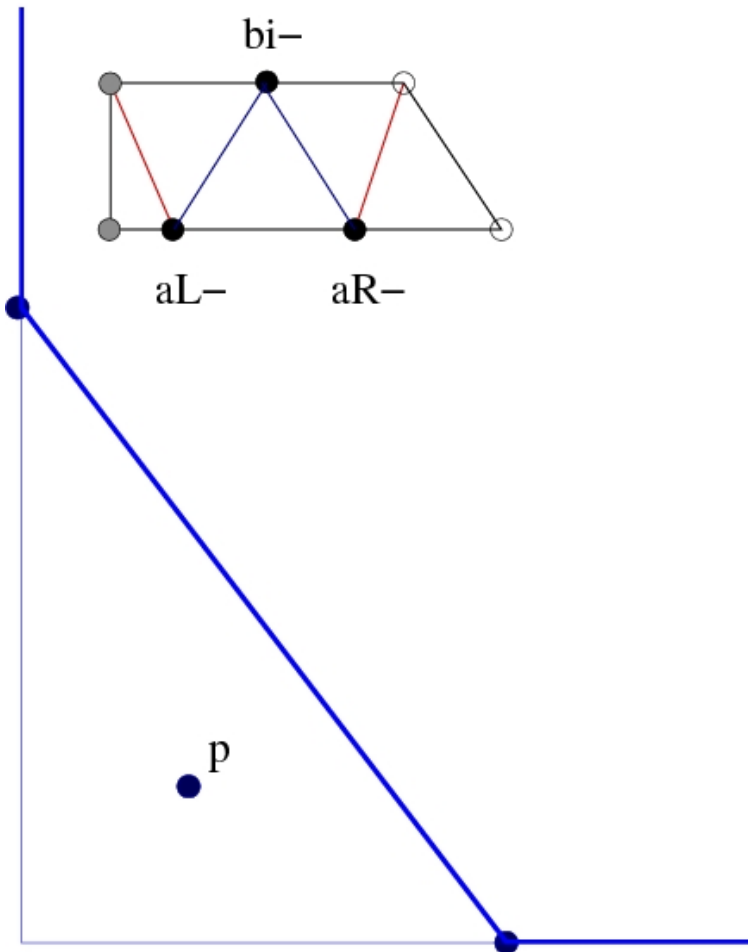
if  $a_0^-, b_0^-, a_n^-, b_m^-$  then:  
 $\exists p = (b_R^+, a_n - a_L^+) \Leftrightarrow$   
 $\det \begin{bmatrix} a_n - a_R^+ & a_L^+ \\ b_L^+ & b_m - b_R^+ \end{bmatrix} > 0,$   
 $p = (b_m - b_L^+, a_R^+) \Leftrightarrow \det < 0$

$$x_{\max} = b_m,$$

$$y_{\max} = (a_R^+ - a_L^+) + \mathcal{X}(b_m^+) \cdot (a_n - a_R^+)$$

Selected  $a_i^+, b_j^+ \in \text{supp}(Q)$ , not selected  $a_i^-, b_j^- \notin \text{supp}(Q)$ .

## Lower-left corner



$$x_{\min} = 0,$$

$$y_{\min} = \mathcal{X}(b_0^+)a_L^+ + \mathcal{X}(b_m^+)(a_n - a_R^-)$$

if  $a_0^+, b_0^+, a_n^+, b_m^+$  then:

$$\exists p = (b_L^-, a_n - a_R^-) \Leftrightarrow$$

$$\det \begin{bmatrix} a_n - a_R^- & a_L^- \\ b_m - b_R^- & b_L^- \end{bmatrix} < 0,$$

$$p = (b_m - b_R^-, a_L^-) \Leftrightarrow \det > 0$$

$$a_i^+, b_j^+ \in \text{supp}(Q) - \text{supp}(P_i), \quad a_i^-, b_j^- \notin \text{supp}(Q) - \text{supp}(P_i).$$

## Polynomially parameterized surfaces

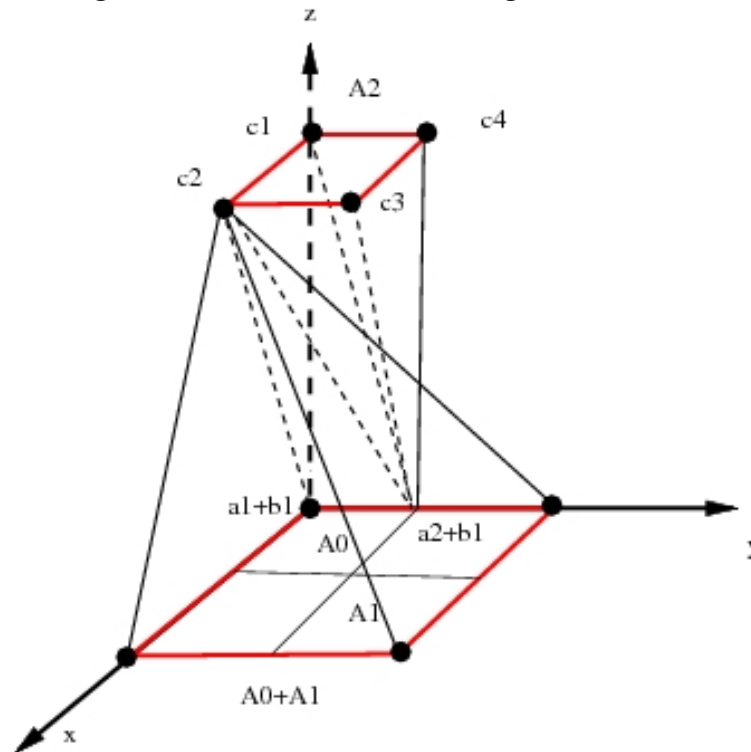
$$x_i = P_i(s, t) \in K[s, t], \quad i = 0, 1, 2.$$

- $(0, 0, 0)$  is in **Implicit support**  $S$  iff some  $P_i$  contains a constant.
- For (small) unmixed systems, if no  $P_i$  contains a constant, then  $S$  contains  $(k, 0, 0)$ , for  $k \in \mathbb{N}^*$ , and the symmetric points.
- $S$  contains  $(0, \text{MV}(A_0, A_2), k)$ , for  $k \in \mathbb{N}$ , and the symmetric points.

## Partial Cayley trick

Apply (partial) Cayley trick to  $A_0 + A_1, A_2 \Rightarrow C \subset \mathbb{Z}^3$ .

- Define **good subdivisions** comprised of maximal cells  $M = (\sigma, \tau)$ , s.t.  $\sigma \in \text{mxd.subdiv}(A_0 + A_1)$ ,  $\tau \in \text{mxd.subdiv}(A_2)$ ,  $\dim(M) = 3$ .
- The mixed cells are  $a_0 + E_1 + E_2$ ,  $E_0 + a_1 + E_2$ , or  $E_0 + E_1 + a_2$ .



**Lemma.** There is a bijection between the good subdivisions of  $C$  and the mixed subdivisions of  $A_0 + A_1 + A_2$ .