

A PROBLEM ABOUT NILPOTENT MATRICES

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We consider n -by- n matrices with real entries. In particular, consider tridiagonal matrices having the following form:

$$M(x) := \text{tridiag}(a, d, x)$$

where

- $a := (-1, -1, \dots, -1)$,
- $d := (-1, 0, 0, \dots, 0, 0, 1)$, and
- $x := (x_1, x_2, \dots, x_2, x_1)$.

In other words, $M(x)$ is a tri-diagonal matrix which is all -1 on the subdiagonal, all 0 on the diagonal except for a -1 in the (1,1) entry and a 1 in the (n,n) entry, and a symmetric vector of variables on the superdiagonal. For example, when n is 5 we have:

$$\begin{array}{ccccc} -1 & x_1 & 0 & 0 & 0 \\ -1 & 0 & x_2 & 0 & 0 \\ 0 & -1 & 0 & x_2 & 0 \\ 0 & 0 & -1 & 0 & x_1 \\ 0 & 0 & 0 & -1 & 1 \end{array}$$

Conjecture: For all n there exist positive values for the variables such that the matrix $M(x)$ is nilpotent.

Irv Hentzel (Iowa State University, hentzel@iastate.edu) has found matrices which satisfy the conjecture for n from 1 to 10. He has also proved that the solution with positive values for the unknown variables is unique.

The conjecture is related to the $2n$ -conjecture which involves the following question: Can matrices with $2n$ nonzero signed entries take on all possible eigenvalues? One way to prove that a general signed matrix takes on all possible eigenvalues is to show that for some values the matrix is nilpotent, and there is a small ball with positive radius around this nilpotent matrix where the matrix is not zero. The conjecture concerning nilpotent matrices is related to the first part of this proof program.

Here is a more detailed description of the $2n$ -conjecture. Consider the following sign pattern:

$$\begin{array}{ccccc} + & - & 0 & 0 & 0 \\ + & 0 & - & 0 & 0 \\ + & 0 & 0 & - & 0. \\ + & 0 & 0 & 0 & - \\ + & 0 & 0 & 0 & - \end{array}$$

This pattern is spectrally arbitrary in the sense that every monic polynomial with degree 5 is the characteristic polynomial at least one real matrix with this sign pattern. The $2n$ -conjecture asserts that an n -by- n spectrally arbitrary sign pattern has at least $2n$ nonzero entries.

Remark: From October 23 to 27, 2006 the American Institute of Mathematics is sponsoring a workshop on “Spectra of families of matrices described by graphs, digraphs and sign patterns”. One of the aims of this workshop is to discuss the $2n$ -conjecture for spectrally arbitrary sign patterns.